

1 **Running head:** Utility of root cortical cell file number under drought  
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6 Reduced root cortical cell file number improves drought tolerance in maize

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8 **Summary:** Reduced root cortical cell file number substantially reduces root respiration,  
9 permitting greater root growth and exploration of deep soil domains, thereby improving water  
10 acquisition, plant growth, and yield under drought.

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18

19 **Abstract**

20 We tested the hypothesis that reduced root cortical cell file number (CCFN) would improve  
21 drought tolerance in maize (*Zea mays* L.) by reducing the metabolic costs of soil exploration.  
22 Maize genotypes with contrasting CCFN were grown under well-watered and water-stressed  
23 conditions in greenhouse mesocosms and in the field in the USA and Malawi. CCFN ranged  
24 from 6 to 19 among maize genotypes. In mesocosms reduced CCFN was correlated with 57%  
25 reduction of root respiration per unit root length. Under water stress in the mesocosms,  
26 genotypes with reduced CCFN had between 15% and 60% deeper rooting (D<sub>95</sub>), 78% greater  
27 stomatal conductance, 36% greater leaf CO<sub>2</sub> assimilation, and between 52% to 139% greater  
28 shoot biomass than genotypes with many cell files. Under water stress in the field, genotypes  
29 with reduced CCFN had between 33% and 40 %deeper rooting (D<sub>95</sub>), 28% lighter stem water  
30  $\delta^{18}\text{O}$  signature signifying deeper water capture, between 10% and 35% greater leaf relative water  
31 content, between 35% and 70% greater shoot biomass at flowering, and between 33% and 114%  
32 greater yield than genotypes with many cell files. These results support the hypothesis that  
33 reduced CCFN improves drought tolerance by reducing the metabolic costs of soil exploration,  
34 enabling deeper soil exploration, greater water acquisition, and improved growth and yield under  
35 water stress. The large genetic variation for CCFN in maize germplasm suggest that CCFN  
36 merits attention as a breeding target to improve the drought tolerance of maize and possibly other  
37 cereal crops.

38 **Key words:** Cortical cell file number, root costs, *Zea mays* L., (Maize), drought

39

## 40 **Introduction**

41 Drought is a primary constraint to global crop production (Schmidhuber and Tubiello, 2007) and  
42 global climate change is likely to increase the risk of drought, especially in rain-fed agriculture  
43 (Battisti and Naylor, 2009; Burke et al., 2009; Mishra and Cherkauer, 2010; Lobell et al., 2011).  
44 The development of crops with greater drought tolerance is therefore an important global  
45 objective. Yield under drought is often not an efficient selection criterion in drought breeding  
46 programs, since yield is affected by many elements of the phenotype and the environment,  
47 interacting in complex and often unknown ways. Trait-based selection or ideotype breeding is  
48 generally a more efficient selection strategy, permitting the identification of useful sources of  
49 variation among lines that have poor agronomic adaptation, elucidation of genotype by  
50 environment interactions, and informed trait stacking (Araus, 2002; Manschadi et al., 2006;  
51 Lynch, 2007b; Araus et al., 2008; Lynch, 2011, York et al., 2013).

52 In most agroecosystems the topsoil dries before the subsoil as drought progresses. In such  
53 environments plants with deeper roots are able to acquire water available in deeper soil domains  
54 that may not be available to plants with shallower roots (Ludlow and Muchow, 1990; Ho et al.,  
55 2005; Hammer et al., 2009). An ideotype has been proposed to guide the breeding of crops with  
56 deeper roots and therefore greater water acquisition from drying soil called ‘steep, cheap and  
57 deep’, integrating architectural, anatomical, and physiological phenes (Lynch, 2013). The term  
58 ‘cheap’ denotes phenes that reduce the metabolic cost of soil exploration, which is an important  
59 limitation to the acquisition of scarce soil resources, including water in dry soil (Fan et al., 2003;  
60 Lynch, 2007b; Zhu et al., 2010; Postma and Lynch, 2010; Postma and Lynch, 2011; Jaramillo et  
61 al., 2013). Plant resource allocation to root growth typically increases under drought to enhance  
62 water acquisition, and therefore the metabolic cost of root growth becomes a significant  
63 component of plant fitness and adaptation under drought (Lynch, 2007b; Lynch, 2013).  
64 Therefore, a plant that is able to access water in deep soil domains at reduced metabolic cost will  
65 have superior productivity, because it will have more metabolic resources available for further  
66 resource acquisition, growth, and reproduction. Evidence in support of this hypothesis comes  
67 from empirical and modelling studies for maize under water and edaphic stress (Lynch, 2007a;  
68 Zhu et al., 2010; Postma and Lynch, 2010; Postma and Lynch, 2011; Jaramillo et al., 2013).

69 Root cortical aerenchyma (RCA) is the enlarged air space in the root cortex that forms either  
70 through cell death or cell separation (Evans, 2004). RCA is associated with a disproportionate  
71 reduction of root respiration in maize by converting living cortical tissue to air volume (Fan et  
72 al., 2003; Zhu et al., 2010). Reduction of root metabolic costs permits more internal resources to  
73 be allocated to greater root growth and consequently greater soil resource acquisition. RCA  
74 formation is also associated with reduction of phosphorus content in root tissue on a volume  
75 basis, since air spaces do not contain phosphorus (P) (Fan et al., 2003), and with improved  
76 growth in low-P soil (Lynch, 2011). RCA also reduces the N content of root tissue, and is  
77 beneficial for N capture and maize growth on low N soils (Saengwilai 2013). Modelling studies  
78 suggest that RCA improves crop adaptation to suboptimal nutrient availability by reducing the  
79 metabolic costs of soil exploration (Postma and Lynch, 2010; Postma and Lynch, 2011). Under  
80 drought Zhu et al. (2010) found that maize genotypes with more RCA had 5 times greater  
81 biomass and 8 times greater yield than genotypes with less RCA. Living Cortical Area (LCA) is  
82 total transverse root cortical area minus RCA area. Jaramillo et al. (2013) found that root  
83 respiration is positively correlated with LCA, and a 3.5-fold reduction in LCA is associated with

84 a 2.5-fold improvement in plant growth under drought. These results indicate that the metabolic  
85 demand of living cortical tissue is a primary determinant of root growth, soil exploration and  
86 resource acquisition in soil environments with suboptimal resource availability.

87 The current study builds on earlier studies indicating that substantial reduction of root metabolic  
88 cost is associated with variation in LCA. The cortex of the maize root is composed of several  
89 concentric layers of parenchyma cells, the number of which we refer to as ‘cortical cell file  
90 number’ (CCFN). Recently Burton et al., (2013) reported that there is 3-fold variation for CCFN  
91 in *Zea* species. In that study the variation was wider in landraces (6-16 cell files) than in teosinte  
92 (7-13 cell files). It has been proposed that reduced CCFN would decrease the metabolic costs of  
93 root growth and maintenance, both in terms of the carbon cost of root respiration as well as the  
94 nutrient content of living tissue, by reducing the proportion of root volume occupied by living  
95 cortical tissue, which has greater metabolic demands than the stele (Lynch, 2013). However, the  
96 physiological utility of CCFN has not been explored.

97 The objective of this study was to test the hypothesis that reduced CCFN would reduce root  
98 respiration, permitting greater rooting depth, thereby enhancing water acquisition and improving  
99 both plant growth and yield under water stress.

## 100 **Results**

101 We observed substantial phenotypic variation for CCFN within maize recombinant inbred lines  
102 (RILs) (Fig 1). In mesocosms (GH1), CCFN ranged from 8 to 17 in the IBM population (Fig  
103 2A). In the field in Malawi (MW2011), CCFN ranged from 6 to 19 among lines from the maize  
104 breeding program at LUANAR (Lilongwe University of Agriculture and Natural Resources; Fig  
105 2B). The stability of CCFN across environments was estimated as the correlation coefficient  
106 between CCFN measured on the same genotypes in mesocosms (30 day old plants, GH2) and in  
107 the field (70 day old plants, PA2011) and across environments in the field, Bunda (BU2012) and  
108 Chitala (CH2012). Strong positive correlations were found between CCFN in mesocosms (GH2)  
109 and in the field (PA2011) ( $r = 0.85$ ,  $P < 0.05$ ), and between CCFN measured in two environments  
110 in Malawi (BU2012 and CH2012) ( $r = 0.68$ ,  $P < 0.05$ ).

111 To understand the effects of CCFN on root respiratory costs, CO<sub>2</sub> production from excised root  
112 segments was measured in diverse sets of maize lines in mesocosms (GH 1,2,3). Reduced CCFN  
113 was correlated with reductions of specific root respiration by 57% (GH1-IBM), 46% (GH1-  
114 NyH), 52% (GH2), and 69% (GH3) (Fig. 3). However, there was no significant difference in  
115 respiration rates between well-watered and water-stressed roots in GH2 and GH3 (Table 1). In  
116 GH1, CCFN was correlated with specific root length (SRL) both in IBM lines ( $r = -0.55$ ,  $p < 0.05$ )  
117 and NyH lines ( $r = -0.48$ ,  $p < 0.05$ ). CCFN was a better predictor of root respiration than SRL  
118 (Table 2). In well-watered mesocosms, CCFN had no relationship with rooting depth, stomatal  
119 conductance, photosynthesis rate or plant biomass. Under water stress, genotypes with reduced  
120 CCFN had 15% (GH1) and 60% (GH2) deeper rooting, 78% greater stomatal conductance  
121 (GH3), 36% greater leaf photosynthetic rate (GH3), and 52% (GH2) and 139% (GH3) greater  
122 biomass than genotypes with many cell files (Table 1, Figs. 4, 5, 6). Reduced CCFN genotypes  
123 proliferated more roots in soil domains below 60 cm compared to many CCFN genotypes under  
124 water stressed conditions (Supplemental figure S1A).

125 In the field at Rock Springs, PA, under water stress genotypes with reduced CCFN had 33%  
126 (PA2011) and 40% (PA2012) deeper rooting depth ( $D_{95}$ ), and 10% (PA2011) and 35% (PA2012)  
127 greater leaf relative water content than genotypes with many cell files (Table 3, Fig. 7, 9 A&B).  
128  $D_{95}$  is the depth above which 95% of total root length is located in the soil profile. In addition,  
129 genotypes with deeper  $D_{95}$  had greater leaf water status than genotypes with shallow  $D_{95}$ , while  
130 there was no relationship in well watered conditions (PA2011) ( $r=0.51$ ,  $p<0.000$ ). In addition  
131 reduced CCFN genotypes proliferated more roots in soil domains below 30 cm compared to  
132 many CCFN genotypes under water stressed conditions (Supplemental figure S1B).

133 Analysis of soil water  $\delta^{18}\text{O}$  showed progressively lighter isotopic signature of water with  
134 increasing depth in water stress conditions (Fig 8). However, the majority of change in this  
135 signature was in the top two layers: 0-10 and 10-20 cm depth (approximately 2.09‰). The  
136 values of soil water signature below 30 cm depth showed no significant difference with depth  
137 (Fig 8) and were aggregated as ‘deep water’ for further analysis. The average values of xylem  
138 water  $\delta^{18}\text{O}$  for genotypes varied by 3.19‰ (Table 4). Genotypes with reduced CCFN had a  
139 collective xylem water signature that was 28% lighter than that of genotypes with many cell files  
140 (Table 4). Soil water  $\delta^{18}\text{O}$  values were used in an isotopic mixing model to determine water  
141 sources contributing to the  $\delta^{18}\text{O}$  signature for xylem water, assuming that any water acquired  
142 below 30 cm depth was ‘deep water’. Genotypes with reduced CCFN had greater average  
143 reliance on ‘deep water’ and were the least reliant on shallow water from top two soil layers than  
144 genotypes with many cell files (Table 4). The proportion of deep water acquired by genotypes  
145 with reduced CCFN ranged from 21 to 81% while for two genotypes with many cell files this  
146 value was zero. The only exception was genotype 181 which was classified as having many cell  
147 files but had relatively greater dependency on deep water of 32%.

148 Water stress reduced shoot biomass by 30% (PA2011) and 33% (PA2012), and reduced yield  
149 from 26% to 68% (PA2011) and from 33% to 75% (PA2012) compared with well-watered plants  
150 (Table 3, Fig 9 C, D, E, F). Genotypes with reduced CCFN had 35% (PA2011) and 45%  
151 (PA2012) greater shoot biomass, and 38% (PA2011) and 114% (PA2012) greater yield than lines  
152 with many cell files under water stress (Table 3, Fig 9 C, D, E, F).

153 In the field across two maize growing environments in Malawi, water stress reduced leaf relative  
154 water content by 22% (BU2012) and 25% (CH2012), shoot biomass by 43% (BU2012) and 54%  
155 (CH2012), and grain yield by 59% (BU2012) and 53% (CH2012) (Fig. 9). Under water stress  
156 genotypes with reduced CCFN had 20% (BU2012) and 19% (CH2012) greater leaf relative  
157 water content, 70% (BU2012) and 57% (CH2012) greater shoot biomass, and 93% (BU2012)  
158 and 33% (CH2012) greater yield than genotypes with many cell files under water stress (Table 5,  
159 Fig. 10)

## 160 **DISCUSSION**

161 We hypothesized that reduced CCFN would reduce root respiration per unit root length,  
162 permitting greater root growth and exploration at depth, thereby enhancing water acquisition,  
163 improving plant growth and yield under drought. Our results extend from observations of young  
164 plants in greenhouse mesocosms to mature plants in the field in the USA and two environments  
165 in Malawi. Our results entirely support our hypotheses: CCFN varied substantially among maize  
166 genotypes, and genotypes with reduced CCFN had lower specific root respiration, and under

167 water stress genotypes with reduced CCFN had greater rooting depth, greater acquisition of deep  
168 soil water, better plant water status, greater leaf photosynthesis, better growth, and better yield.

169 The utility of CCFN was evaluated using diverse sets of genotypes contrasting in CCFN in  
170 greenhouse mesocosms, in the field using moveable rainout shelters, and with differential  
171 irrigation in Malawi. The greenhouse mesocosms and movable rainout shelters in the field  
172 allowed us to simulate terminal drought by the progressive reduction of soil water content (Fig.  
173 10). The mesocosms also permit a detailed analysis of root distribution by depth and root  
174 respiration, since entire root systems can be recovered. The field environments in Malawi were  
175 natural drought environments in which rainfall varied but was insufficient to meet plant water  
176 requirements. The combination of results from the field and mesocosms lends credence to our  
177 conclusions, as the field includes variable environmental factors such as soil temperature, biota,  
178 and soil physical properties, while mesocosms permit greater environmental control and more  
179 detailed measurement of root properties. RILs sharing the same genetic lineage were employed  
180 to minimize the effects of genetic interaction, epistasis, and pleiotropy, which may confound the  
181 interpretation of results from comparison of unrelated lines (Zhu and Lynch, 2004). CCFN is a  
182 quantitative trait associated with multiple genetic loci in maize (Saengwilai, 2013). For  
183 evaluation of the utility of quantitative traits such as CCFN, RILs are useful since they permit the  
184 comparison of lines differing in CCFN expression among a set of genotypes sharing common  
185 parents.

186 Maize has substantial genetic variation for root architectural and anatomical phenes  
187 (Hochholdinger, 2009; Bayuelo-Jiménez et al., 2011; Trachsel et al., 2011; Burton et al., 2013;  
188 Lynch, 2013). Genotypic variability observed here was consistent with previous studies which  
189 found that CCFN varies in the range from 7-16 for CCFN in *Zea* species (including maize  
190 landraces and teosinte) (Burton et al., 2013). Cortical cell files are formed by several successive  
191 asymmetric periclinal divisions in the root apical meristem (Baum et al., 2002; Chapman et al.,  
192 2003; Lux et al., 2004). It has been documented that the number of such periclinal divisions  
193 varies among species, genotypes and root types (Lux et al., 2004; Coudert et al., 2010), which  
194 might generate differences in CCFN as observed here. Maize, like other monocots, has no  
195 secondary growth in its roots (Esau, 1965). Hence, CCFN variation along the longitudinal axis of  
196 a root represent the radial patterning in the root apical meristem. Therefore CCFN variation  
197 observed in this study was largely due to genotypic differences. However, the genetic and  
198 physiological mechanism of this variation in maize is not yet known and deserves further  
199 exploration.

200 CCFN can be easily observed with a microscope and is therefore amenable to direct phenotypic  
201 selection in crop improvement programs. In this study we showed that CCFN measured on  
202 young plants from greenhouse mesocosms 30 days after planting were accurate reflections of  
203 CCFN measured on mature plants in the field 70 days after planting. We also observed  
204 correlation between CCFN measured in the field across two contrasting maize growing  
205 environments in Malawi. These results indicate that CCFN was stable across environments in  
206 this study.

207 We have proposed that reduced CCFN may be a useful adaptation to drought by reducing the  
208 metabolic costs of soil exploration (Lynch 2013). Previous studies have associated reduction of  
209 root respiration with RCA formation (Fan et al., 2003; Zhu et al., 2010). Jaramillo et al. (2013)



210 found that reduced LCA substantially reduces root respiration in maize. In that study, it was  
211 concluded that LCA is a stronger predictor of root respiration than either RCA or root diameter,  
212 since it takes into account the differing cortical areas among root classes. Two key determinants  
213 of LCA are cell file number and cell size, and altering either one may affect the size of LCA,  
214 consequently affecting root metabolic costs. We have recently shown that large cortical cell size  
215 in maize is associated with reduced root respiration, and greater root depth, water acquisition,  
216 plant growth, and yield under drought (Chimungu et al 2014). As shown in this study, decreasing  
217 CCFN from 16 to 8 was associated with a 57% reduction of root respiration (Fig 3). This  
218 respiratory pattern may reflect the effect of decreasing the proportion of metabolically active  
219 cells in the cortex and increasing the proportion of nonrespiring tissues such as sclerenchyma and  
220 xylem vessels.

221 Root respiration associated with growth, maintenance, and ion uptake are major components of  
222 root metabolic costs (Lambers, 1979; van der Werf et al., 1988; Peng et al., 1993; Lambers et al.,  
223 2002; Lynch and Ho, 2005). In this study, root respiration was measured in the mature region of  
224 the root, therefore, total respiration in this region is primarily the respiration for tissue  
225 maintenance. Root construction cost is assumed to be a one-time cost that occurs when the root  
226 is formed (Yanai et al., 1995). In contrast, maintenance costs accumulate over time, and can  
227 quickly exceed initial construction costs, and therefore maintenance cost are important  
228 determinants of root metabolic cost (Eissenstat and Yanai, 1997; Lynch and Ho, 2005; Lynch  
229 and Brown, 2008). For example Postma and Lynch (2011) reported that maize plants without  
230 maintenance respiration had up to 72% greater growth under nutrient limiting conditions than  
231 plants with root maintenance respiration. The importance of maintenance costs is clearly shown  
232 by the case of root cortical aerenchyma (RCA), which reduces the maintenance respiration and  
233 nutrient content of mature root tissue by converting living cortical cells to air space. Differential  
234 RCA formation among maize genotypes is associated with reduced maintenance respiration of  
235 root tissue, which when plants are stressed by suboptimal availability of water, N, P, or K, results  
236 in greater root growth, greater acquisition of soil resources, greater plant growth, and greater  
237 yield (Fan et al. 2003; Zhu et al. 2010; Postma and Lynch 2010; Postma and Lynch 2011;  
238 Saengwilai et al., 2014a). Since RCA is formed in mature regions of the cortex it affects root  
239 maintenance costs rather than root construction costs. Although CCFN affects both construction  
240 and maintenance costs, we believe that by analogy with RCA, the effects of CCFN on  
241 maintenance costs are more important for plant adaptation to stress than effects on construction  
242 costs. A more detailed analysis of this issue would be possible using the structural-functional  
243 plant model *SimRoot* (Lynch et al., 1997). *SimRoot* is a structural-functional plant model that  
244 simulates the three-dimensional architecture and soil resource acquisition of a root system as it  
245 develops over time. It is difficult to quantify both construction and maintenance costs in  
246 greenhouse and field studies, because of the tightly coupled integration between the two costs.  
247 *SimRoot* may provide useful insights in this context by allowing the quantification and  
248 independent manipulation of maintenance and construction costs in plants contrasting for CCFN.  
249 *SimRoot* has provided such insights in the context of the effects of RCA on maintenance and  
250 construction costs in maize (Postma and Lynch 2010; Postma and Lynch 2011)

251 CCFN was a stronger predictor than SRL for root segment respiration, with a slightly greater  
252 coefficient of determination (Table 2). Generally, greater SRL permits more efficient soil  
253 exploration (Eissenstat, 1992). SRL is influenced by root diameter as well as root anatomy, or  
254 “tissue mass density” (Wahl and Ryser, 2000). However, specific root length varies widely with

255 environmental conditions and the direction of change in SRL is not always predictable based on  
256 resource supply (Eissenstat et al., 2005). In addition, SRL is a coarse metric that aggregates  
257 many distinct phenes to provide an overall estimate of mass per unit root length, without  
258 indicating how mass varies or the composition and hence energy content of the mass. SRL also  
259 does not indicate whether the root mass is living or dead tissue and therefore it is not well  
260 correlated with variation for maintenance respiration among root classes and ages. Therefore,  
261 CCFN should be a more direct predictor of root respiratory costs than SRL (Table 2) since it  
262 takes into account the differing cortical areas which generally have high metabolic rate. For  
263 example (Hall et al., 1971) working with maize showed that fresh isolated cortex had greater  
264 respiration than fresh steles. CCFN therefore is an important determinant of root metabolic cost.  
265 Lynch (2013) proposed that large cortical cells may also substantially reduce root respiration,  
266 since larger cells have a higher ratio of vacuolar to cytoplasmic volume and hence reduced  
267 respiration per unit of tissue volume. For this reason we propose that the benefit of reduced  
268 CCFN should be strongest in roots with small cortical cells.

269 The benefits of reduced metabolic cost of soil exploration were greater under water stress (Figs.  
270 3, 4, 5, 6, 7, 9, & 10). The greater utility of reduced CCFN under drought is associated with the  
271 fact that the genotypes with less costly root tissue had deeper rooting, better access to water and  
272 therefore extra carbon gain through photosynthesis, which in turn will increase root growth  
273 further, creating a positive feedback for plant growth under water stress. We found that reduced  
274 CCFN was associated with increased rooting depth ( $D_{95}$ ) in the field under water stress, but did  
275 not affect rooting depth in well-watered conditions (Fig, 7, Supplemental figure S1 A&B). In  
276 addition, our results show that genotypes with reduced CCFN and deeper  $D_{95}$  were able to  
277 maintain greater RWC in the field and stomatal conductance in mesocosms under water stress  
278 than genotypes with many cell files (Fig. 5, 9 A&B, 10 A&B). These results suggest that  
279 increased availability of carbon from reduced respiration allows the plant to grow more roots  
280 under drought. Root growth in deep soil domains under water stress resulted in increased water  
281 acquisition, greater plant water status, and greater photosynthesis, which benefits overall plant  
282 growth and yield.

283 Xylem water reflects the oxygen isotopic composition of water acquired by the plant from the  
284 soil as no isotopic fractionation occurs during water uptake and transport (Ehleringer and  
285 Dawson, 1992; Dawson and Pate, 1996; Ehleringer et al., 2000). In this study we used natural  
286 variation in the isotopic signature of soil water to provide insight into the potential between root  
287 depth and water acquisition (Fig 4, 7, & 8). The isotopic signature of soil water observed in this  
288 study is determined by evaporation from the soil, precipitation and irrigation. Because soil cores  
289 were collected 30 days after the last irrigation or rainfall, the surface soil water was isotopically  
290 enriched due to evaporation. For the subsoil water, the isotope signature could be attributed to  
291 the combination of the evaporation effect and the isotopic signatures of irrigation water and  
292 rainfall, resulting in a gradient of  $\delta^{18}\text{O}$  with soil depth (Fig. 8). Xylem water  $\delta^{18}\text{O}$  signatures  
293 showed that genotypes with reduced CCFN had lighter isotope signatures and greater  
294 dependency on deep soil water than genotypes with many cell files (Table 3). The difference in  
295 the depths of root water acquisition between genotypes with reduced CCFN and many cell files  
296 could be attributed to their rooting depth (Fig 4 & 7).

297 The additional benefit of reducing root costs in annual crops like maize is that extra resources  
298 from reduced root metabolic demand can contribute to crop yield, by enhancing plant

299 reproductive growth, since reproduction and roots are competing sinks for current photosynthate.  
300 In this study we found that irrespective of maize population, environment, soil type, and trial  
301 management, genotypes with reduced CCFN had both greater shoot biomass and grain yield than  
302 genotypes with many cell files under water stress (Fig. 6, 9 (C,D,E,F), & 10 (C,D,E,F)). These  
303 results support the hypothesis that genotypes with less costly root tissue could develop the  
304 extensive, deep root systems required to fully utilize soil water resources in drying soil without  
305 as much yield penalty.

306 The physiological utility of a phene may depend on interactions with other phenes in integrated  
307 phenotypes (York et al., 2013). These interactions among phenes could result in synergistic or  
308 antagonistic effects on resource acquisition. Understanding phene synergisms is essential in  
309 developing ideotypes for breeding crops with greater tolerance of edaphic stress. We also  
310 recognize that a phene can be beneficial for multiple stresses. Root phenes, such as reduced  
311 CCFN, that influence the metabolic cost of soil exploration may be important to plants in low-  
312 input systems by increasing rooting depth. Rooting depth is important for the acquisition of  
313 mobile nutrients including nitrate and sulfate, particularly in soils with high leaching potential.  
314 Evidence for this comes from modelling studies, where it has been shown that deeper roots could  
315 significantly improve acquisition of nitrogen (Dunbabin et al., 2004; Postma and Lynch, 2011;  
316 Dathe et al., 2013). Reduced CCFN may also affect root hydraulic conductivity, because a  
317 smaller radial path is associated with greater hydraulic conductivity and consequently greater  
318 water acquisition (Rieger and Litvin, 1999). We anticipate that root radial hydraulic conductivity  
319 would increase with reduced CCFN. Reduced CCFN may exhibit tradeoffs when soil hardness  
320 restricts root penetration, since the capacity to penetrate hard soil is associated with larger root  
321 diameter (Matarechera et al., 1992; Bengough et al., 2006; Bengough et al., 2011). Further  
322 research is needed to understand these potential tradeoffs and synergisms before the deployment  
323 of this trait in crop improvement programs.

324 In this study we have demonstrated the utility of reduced CCFN for maize under water stress.  
325 We propose that the utility of reduced CCFN may be applicable to the acquisition of N in  
326 leaching environments, and should be applicable to other plant species, especially graminaceous  
327 species lacking secondary root growth, including rice (*Oryza sativa*), wheat (*Triticum aestivum*  
328 L.), barley (*Hordeum vulgare* L.), oats (*Avena sativa*), sorghum (*Sorghum bicolor*), millet  
329 (*Pennisetum glaucum*) etc.

330 These results support the hypotheses that phenes and phenes states that reduce the metabolic cost  
331 of soil exploration improve the acquisition of limiting soil resources (Lynch and Ho, 2005;  
332 Lynch, 2014; Lynch et al., 2014). Such phenes include production of an optimal number of root  
333 axes, biomass allocation to metabolically efficient root classes, and reduced tissue respiration  
334 (Miller et al., 2003; Jaramillo et al., 2013; Lynch, 2014; Saengwilai et al., 2014b). CCFN is an  
335 example of the third category, i.e. an anatomical phene that affects the metabolic costs of soil  
336 exploration by affecting tissue respiration. Another example in this category is root cortical  
337 aerenchyma (RCA), which destroys living cortical cells. Maize genotypes with abundant RCA  
338 have reduced root respiration, greater rooting depth, greater water acquisition under drought  
339 (Zhu et al., 2010), greater N acquisition under N limitation (Postma and Lynch, 2011; Saengwilai  
340 et al., 2014a), and greater P and K acquisition in soils with suboptimal availability of those  
341 resources (Postma and Lynch, 2011). Similarly, maize genotypes with greater cortical cell size  
342 (CCS) have less root respiration, greater rooting depth, and greater water acquisition under

343 drought (Chimungu et al., 2014). The deployment of root phenotypes with greater metabolic  
344 efficiency of soil exploration represents a novel, unexploited paradigm to develop crops with  
345 greater resource efficiency and resilience (Lynch, 2014).

## 346 **Materials and methods**

### 347 **Plant materials**

348 Maize genotypes from IBM, NyH populations and advanced lines from the Malawi maize  
349 breeding program were utilized in this study. The IBM lines are from the intermated population  
350 of B73xMo17 and were obtained from Shawn Kaeppler, University of Wisconsin, Madison, WI,  
351 USA (Genetics Cooperation Stock Center, Urbana, IL, USA) and designated as Mo  
352 (Supplemental table S1). The NyH lines are from the Ny821xH99 population (Shawn Kaeppler,  
353 University of Wisconsin, Madison, WI, USA). Based on previous experiments carried out under  
354 optimum growing conditions (Burton, 2010), a subset of 15 IBM lines and 11 NyH lines was  
355 selected to assess the phenotypic variation of CCFN and its impact on root respiration (GH1). A  
356 set of six IBM lines contrasting in CCFN was used in 2011 experiments (GH2 and PA2011) and  
357 another set of six IBM lines also contrasting in CCFN for 2012 experiments (GH3 and PA2012)  
358 to evaluate utility of CCFN under water limited conditions (Supplemental table S1). In Malawi, a  
359 set of 70 breeding lines was used to assess phenotypic variation of CCFN in Malawian  
360 germplasm (MW2011). These lines originated from the Malawi national maize breeding program  
361 and were selected to represent a broad range of gene pools. A subset of 33 lines contrasting in  
362 CCFN was used in two field experiments (BU2012 and CH2012) to evaluate the utility of CCFN  
363 under water limited conditions and across sites in Malawi (Supplemental table S1). In all  
364 experiments genotypes were classified as ‘reduced’ CCFN ( $\leq 10$  cell files) and as ‘many’ CCFN  
365 ( $\geq 13$  cell files) (Supplemental table S1).

### 366 **Greenhouse experiments**

367 Three experiments were conducted under the same conditions in two consecutive years  
368 (GH1,2,3) (Supplemental table S1). The experiments were conducted in a greenhouse at  
369 University Park, PA, USA (40°48’N, 77°51’W,) under constant conditions (14-h/10-h day/night:  
370 23°C/20°C day/night: 40-70% relative humidity), with maximum illumination of 1200  $\mu\text{mol}$   
371  $\text{photons m}^{-2} \text{s}^{-1}$  and additional light was provided when necessary with 400-W metal-halide bulbs  
372 (Energy Technics, York, PA, USA). Plants were grown in mesocosms (Supplemental figure S2)  
373 consisting of PVC cylinders 1.5 m in height by 0.15 m in diameter, with plastic liners made of 4-  
374 mil (0.116-mm) transparent hi-density polyethylene film, which was used to facilitate root  
375 sampling. The growth medium consisted of (by volume) 50% commercial grade sand (Quikrete  
376 Companies Inc. Harrisburg, PA, USA), 35% vermiculite (Whittemore Companies Inc.,  
377 Lawrence, MA, USA), 5% Perlite (Whittemore Companies Inc., Harrisburg, PA, USA), and 10%  
378 topsoil (Hagerstown silt loam top soil (fine, mixed, mesic Typic Hapludalf)). Mineral nutrients  
379 were provided by mixing the media with 70g per column of OSMOCOTE PLUS fertilizer  
380 consisting of (in %); N (15), P (9), K (12), S (2.3), B (0.02) Cu (0.05), Fe (0.68), Mn (0.06), Mo  
381 (0.02), and Zn (0.05) (Scotts-Sierra Horticultural Products Company, Marysville, Ohio, USA)  
382 for each column. The seeds were germinated by placing them in darkness at  $28 \pm 1$  °C in a  
383 germination chamber for two days prior to transplanting two seedlings per mesocosm, thinned to  
384 one uniform seedling per mesocosm 5 days later after planting.

385 At harvest, the shoot was removed, and the plastic liner was pulled out of the PVC column and  
386 placed on a washing bench. The plastic liner was cut open and the roots were washed carefully  
387 by rinsing the media away with water. This allowed us to recover the entire plant root system.  
388 Samples for root respiration measurement were collected 10-20 cm from the base of three  
389 representative second whorl crown roots per plant. Root respiration (CO<sub>2</sub> production) was  
390 measured using an infrared gas analysis system (LI-COR 6400 Biosciences, Lincoln, NE, USA)  
391 equipped with a custom 56 ml closed chamber of plastic tubing (1.5 cm diameter) having  
392 connection points sealed with silicon grease. The change in CO<sub>2</sub> concentration in the chamber  
393 was monitored for 3 minutes. During the time of measurement the chamber was placed in a  
394 temperature controlled water bath at 27± 1 °C to maintain constant temperature. Following  
395 respiration measurements, root segments were preserved in 75% ethanol for anatomical analysis  
396 as described below.

397 Root length distribution was measured by cutting the root system into 7 segments of 20 cm depth  
398 increments. Roots from each increment were spread in a 5 mm layer of water in transparent  
399 plexiglass trays and imaged with a flatbed scanner equipped with top lighting (Epson Perfection  
400 V700 Photo, Epson America, Inc. USA) at a resolution of 23.6 pixel mm<sup>-1</sup> (600 dpi). Total root  
401 length for each segment was quantified using WinRhizo Pro (Regent Instruments, Québec,  
402 Canada). Following scanning the roots were dried at 70°C for 72 hours and weighed. To  
403 summarize the vertical distribution of the root length density we used the D<sub>95</sub> (Schenk and  
404 Jackson, 2002), i.e. the depth above which 95 % of the root length was located in the column or  
405 soil profile. Specific root length was calculated by dividing root length with corresponding  
406 weight.

407 Root segments were ablated using laser ablation tomography (LAT) (Hall *et al.*, unpublished) to  
408 obtain images for anatomical analysis. In brief, LAT is a semi-automated system that uses a laser  
409 beam (Avia 7000, 355 nm pulsed laser) to vaporize or sublimate the root at the camera focal  
410 plane ahead of an imaging stage. The sample is incremented, vaporized or sublimated, and  
411 imaged simultaneously. The cross-section images were taken using a Canon T3i camera (Canon  
412 Inc. Tokyo, Japan) with 5X micro lens (MP-E 65 mm) on the laser-illuminated surface. Root  
413 images were analyzed using *RootScan*, an image analysis tool developed for analyzing root  
414 anatomy (Burton *et al.*, 2012). CCFN was determined from three different images per root  
415 segment. CCFN was obtained by counting the cell layers from the epidermis to the endodermis.

#### 416 **Experiment I (GH1)**

417 The aim of this experiment was to assess the relationship between phenotypic variation for  
418 CCFN and root respiration. The experiment was laid out as a randomized complete block design  
419 (RCBD) replicated three times with time of planting as a blocking factor. A set of 26 genotypes  
420 was planted in the mesocosms and water stress was imposed by withholding water starting 14  
421 days after planting. Plants were harvested for root respiration measurements and anatomical  
422 analysis 35 days after planting.

#### 423 **Experiment II (GH2) and III (GH3)**

424 Two experiments were conducted, one in Fall 2011 (GH2) and Summer 2012 (GH3) and were  
425 laid out using as a randomized complete block design (RCBD), replicated four times with time of  
426 planting as a blocking factor. Planting was staggered by seven days. A set of six genotypes  
427 contrasting in CCFN was planted in each experiment (Supplemental table S1). These genotypes

428 were selected based on phenotypes from previous experiments (Burton and Lynch, 2010;  
429 Chimungu, 2014). In both experiments, the irrigated mesocosms (control) each received 200 ml  
430 of water every other day, to replenish water lost by evapotranspiration, and in stressed  
431 mesocosms, water application was withheld starting 5 days after planting to allow the plants to  
432 exploit residual moisture to simulate terminal drought.. Leaf gas exchange of the third fully  
433 expanded leaves was measured with a LI-6400 Infrared Gas Analyzer (LI-COR, Lincoln, NE,  
434 USA) using a red-blue light at PAR intensity of 1200  $\mu\text{mol photons m}^{-2} \text{s}^{-1}$  and constant  $\text{CO}_2$   
435 concentration of 400 ppm 28 days after planting. The measurements were done between 9:00 and  
436 11:00h. Plants were harvested 30 days after planting for root respiration measurements, root  
437 growth distribution and shoot biomass. The dry matter of the shoot and root were measured after  
438 drying at 70°C for 72 h and root length distribution was determined as described above.

### 439 **Field experiments**

#### 440 **Assessing phenotypic variation of CCFN (MW2011)**

441 The experiment was conducted at Bunda College research farm, Lilongwe, Malawi (33°48'E,  
442 14°10'S,) in 2011 under optimal conditions (i.e. the plots were rainfed but only rarely were they  
443 severely moisture stressed). The soil is Lilongwe series sandy clay loam (Oxic Rhodustalf). The  
444 experiment was arranged as randomized complete block design (RCBD) with three replications.  
445 Each plot consisted of a single 6 m long row with 25 plants. Root crowns were excavated by  
446 'shovelomics' (Trachsel et al., 2010). In brief, roots were excavated by removing a soil cylinder  
447 30-40 cm in diameter with the shoot at its center and a depth of 20-30 cm. The excavated root  
448 crowns were shaken briefly to remove a large fraction of the soil adhering to the root crown. The  
449 root crowns were immersed in soapy water for 5-10 minutes in order to facilitate removal of the  
450 remaining soil. Three 8-cm root segments were collected 10-20 cm from the base of a  
451 representative second whorl crown root of each plant, and used to assess CCFN. The segments  
452 were preserved in 75% ethanol before being processed as described above.

#### 453 **Utility of CCFN under water stress**

454 In the USA two experiments were conducted in rainout shelters (Supplemental figure S3) located  
455 at the Russell E. Larson Agricultural Research Center in Rock Springs, PA, USA (40°42' N,  
456 77°57' W,), during the summer of 2011 (PA2011) and 2012 (PA2012). In Malawi experiments  
457 were conducted at the Chitala Agriculture Research station of the Ministry of Agriculture,  
458 Salima, Malawi (13°28'S, 33°59'E) (CH2012), and the Bunda Research farm of the Lilongwe  
459 University of Agriculture and Natural Resources (14°10'S, 33°48'E,) (BU2012). The soil is a  
460 Hagerstown silt loam (fine, mixed, mesic Typic Hapludalf) in Rock Springs, and sandy clay  
461 loam (Oxic Rhodustalf) both at Chitala and Bunda. The experiments (PA2011, PA2012, CH2012  
462 and BU2012) were arranged as a randomized complete block split plot design with four  
463 replications. The main plots were composed of two moisture regimes and the subplots contained  
464 genotypes contrasting in CCFN in each experiment. The experiments were hand-planted on 15<sup>th</sup>  
465 June 2011 and 25<sup>th</sup> June 2012 in Rock Springs and 3<sup>rd</sup> and 4<sup>th</sup> September in Bunda and Chitala  
466 respectively. In Rock Springs, each subplot consisted of three rows, with each row being 2.5 m  
467 long, with 25 cm between plants and 75 cm between rows. In Malawi the experiments were  
468 planted in single 6 m row plot with 25 cm and 75 cm spacing between planting stations and rows  
469 respectively. The drought treatment was initiated starting 35-40 days after planting using an  
470 automated rainout shelter in Rock Springs and by withholding water application in Malawi. The

471 shelters (10 by 30 m) were covered with a clear greenhouse plastic film (0.184 mm) and were  
472 automatically triggered by rainfall to cover the plots, excluding natural precipitation. Adjacent  
473 non-sheltered control plots were rainfed and drip-irrigated when necessary to maintain the soil  
474 moisture close to field capacity throughout the growing season. At each location, the  
475 recommended fertilizer rate was applied before planting. Soil water content for both well  
476 watered and water stressed treatments was monitored regularly during the experiment (Fig 11).  
477 Soil water content was monitored using the TRIME FM system (IMKO Micromodultechnik  
478 GmbH, Ettlingen, Germany) at three depths (20, 35 and 50 cm) at six points inside the shelter  
479 and three points outside the rainout shelter. Seven readings were taken between 30 to 120 days  
480 after planting.

#### 481 **Plant measurements**

482 In all field experiments, midday leaf relative water content (RWC) was measured and used as a  
483 physiological indicator of plant water status. To measure leaf RWC, fresh leaf discs (3 cm in  
484 diameter) were collected from the third fully expanded leaf for three representative plants per  
485 plot 60 days after planting and weighed immediately to determine fresh weight (FW). After  
486 which the discs were immediately hydrated to full turgidity (6 h) by soaking them distilled water.  
487 Following soaking, the discs were blotted dry and again weighed to determine turgid weight  
488 (TW). Discs were then oven dried at 70°C for 72 h, and dry weight (DW) was determined. Leaf  
489 RWC was calculated according to the equation:  $RWC = 100[(FW - DW)/(TW - DW)]$ .

490 In PA2011 and PA2012 soil cores were collected 80 days after planting to determine root  
491 distribution in the soil profile. A soil coring tube (Giddings Machine Co., Windsor, CO, USA)  
492 5.1 cm in diameter and 60 cm long was used for sampling, the core was taken midway between  
493 the plants within a row. The cores were sectioned into 6 segments of 10 cm depth increments and  
494 washed. Subsequently the washed roots were scanned using a flatbed scanner (Epson, Perfection  
495 V700 Photo, Epson America, Inc. USA) at a resolution of 23.6 pixel mm<sup>-1</sup> (600 dpi) and  
496 analyzed using image processing software WinRhizo Pro (Regent Instruments, Québec, Canada).  
497 Root distribution in the soil profiles was calculated as described above.

498 Shoot and roots were evaluated 75 days after planting. To accomplish this, three representative  
499 plants in each plot were cut at soil level. The collected shoot material was dried at 70°C for 72  
500 hours and weighed. Root crowns were excavated by 'shovelomics' (Trachsel et al., 2010). Three  
501 8-cm root segments were collected 10-20 cm from the base of a representative second whorl  
502 crown root of each plant for anatomical analysis. The segments were preserved in 75% alcohol  
503 before being processed as described above. At physiological maturity grain yield was collected  
504 each plot.

#### 505 **Soil and plant sampling of $\delta^{18}\text{O}$ analysis**

506 In PA2011, soil samples were collected adjacent to plants in the rainout shelter 65 days after  
507 plant using 5 cm diameter soil core. Soil cores were taken to the maximum achievable depth of  
508 60 cm. The cores were immediately separated into 10 cm increments; 10, 20, 30, 40 50, and 60  
509 cm. The corresponding maize stems were collected at the same time when soil was sampled,  
510 approximately 8-10 cm of the stem was collected just aboveground level and the epidermis was  
511 immediately removed. Soil and maize stem samples were put in a snap vials, sealed with  
512 parafilm to prevent evaporation, and refrigerated immediately. Cryogenic vacuum distillation

513 (West et al., 2006; Koeniger et al., 2010) was used to extract soil water and crop stem water. In  
514 cryogenic vacuum distillation, two glass tubes were attached to a vacuum pump. The sample was  
515 placed in one tube and frozen by submerging the tube in liquid nitrogen, and then both tubes  
516 were evacuated by vacuum pump to create a closed U-shape configuration. After that, the tube  
517 containing sample was heated, while the collection tube was still immersed in liquid nitrogen to  
518 catch the vapor. Samples were weighed and oven dried after extraction to ensure the extraction  
519 time was sufficient to vaporize all the water in samples. The water samples were analyzed at the  
520 Penn State Institutes of Energy and the Environment (PSIEE). Stable isotopic analyses were  
521 performed using a PICARRO L2130-i  $\delta D/\delta^{18}O$  Ultra High Precision Isotopic Water Analyzer  
522 (PICARRO Inc, CA, USA). Results were expressed as parts per thousand deviations from the  
523 Vienna Standard Mean Ocean Water (VSMOW). To determine the percent contribution of soil  
524 water at depth to the signature of water within the plant's xylem, an isotopic mixing model was  
525 used (Phillips et al., 2005). IsoSource Version 1.3.1 (Phillips and Gregg, 2003) was used to  
526 evaluate the relative contribution of each soil layer to plant xylem water signature. The fractional  
527 increment was set at 1%, and tolerance at 0.1.

### 528 **Data analysis**

529 The data from each year were analyzed separately considering that different sets of genotypes  
530 were used. For greenhouse data, for comparisons of genotypes, irrigation levels and their  
531 interaction effects a two-way analysis of variance (ANOVA) was used. Field data were analyzed  
532 as randomized complete block split plot designs to determine the presence of significant effects  
533 due to irrigation level, genotype and interaction effects on the measured and calculated  
534 parameters. Mean separation of genotypes for the different parameters was performed by a  
535 Tukey-HSD test. Unless otherwise noted,  $HSD_{0.05}$  values were only reported when the F test was  
536 significant at  $P \leq 0.05$ . Linear regression analysis was used to establish relationships between  
537 CCFN and measured and calculated parameters.

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## Figure Legends

**Figure 1.** Cross section images showing genotypic differences in root cortical cell file number (CCFN) in maize: (A) 8 cell files and (B) 14 cell files. Cross sections are from standard reference tissue collected 10-20 cm from the base of the second nodal crown root at 70 days after planting from field-grown plants. Images obtained from laser ablation tomography.

**Figure 2.** Genetic variation for root cortical cell file number (CCFN) in maize (A) selected IBM lines (GH1) and (B) recombinant inbred lines from the Malawi maize breeding program (MW2011). The data shown are standard reference tissue collected from 10-20 cm from the base of the second nodal crown root. In the greenhouse roots were sampled 30 days after planting and in the field at 70 days after planting.

**Figure 3.** Correlation of root respiration per unit length and cortical cell file number (CCFN) for GH1-NyH ( $y = 1.7x - 0.31$ ,  $r^2 = 0.46$ ,  $p = 0.009$ ), GH1-IBM ( $y = 1.9x - 0.49$ ,  $r^2 = 0.46$ ,  $p = 0.009$ ), GH2 ( $y = 0.8x - 4.32$ ,  $r^2 = 0.59$ ,  $p = 0.001$ ) and in GH3 ( $y = 2.11x - 3.09$ ,  $r^2 = 0.52$ ,  $p = 0.018$ ) in the mesocosms 30 days after planting. Each point is the mean of at least three measurements of respiration from the second nodal crown root per genotype.

**Figure 4.** Correlation of root depth ( $D_{95}$ ) and cortical cell file number for GH2WS ( $y = 113.4 - 2.4x$ ,  $r^2 = 0.57$ ,  $p < 0.001$ ), for GH2WW ( $y = 120.6 + 0.003x$ ,  $r^2 = 0.003$ , ns), GH3WS ( $y = 124.9 - 4.2x$ ,  $r^2 = 0.41$ ,  $p < 0.01$ ), and GHWW ( $y = 138.6 - 2.9x$ ,  $r^2 = 0.10$ , ns) in greenhouse mesocosms 30 days after planting. Data include water stressed (WS) and well watered (WW) conditions.  $D_{95}$  measures the depth above where 95% of root length is present.

**Figure 5.** Carbon dioxide exchange rate (A) and stomatal conductance (B) of six genotypes with contrasting CCFN 28 days after planting in well watered (WW) and water stressed (WS) conditions in greenhouse mesocosms (GH3). Bars represent means  $\pm$ SE of four replicates per treatment. Bars with the same letters are not significantly different ( $p < 0.05$ ).

**Figure 6.** Shoot dry weight of genotypes contrasting in CCFN 30 days after planting in well watered (WW) and water stressed (WS) conditions in mesocosms (A), GH2 and (B), GH3. Bars show means  $\pm$ SE of four replicates per treatment. Bars with the same letters are not significantly different within the same panel ( $p < 0.05$ ).

**Figure 7.** Correlation of root depth ( $D_{95}$ ) and root cortical cell file number in rainout shelters at Rock Springs, PA, USA; for PA2011WS ( $y = 66.7 - 1.59x$ ,  $r^2 = 0.59$ ,  $p < 0.01$ ), PA2012WW ( $y = 49.89 + 0.02x$ ,  $r^2 = 0.002$ , ns), PA2012 ( $r^2 = 0.42$ ,  $p < 0.05$ ), and PA2012WW ( $y = 47.4 + 0.02x$ ,  $r^2 = 0.05$ , ns) 80 days after planting. Data include water stressed (WS) and well watered (WW) conditions.  $D_{95}$  measures the depth above where 95% of root length is present in the soil profile.

**Figure 8.** Mean oxygen isotope composition  $\pm$  S.E. of soil water along the soil profile in the rainout shelters (PA2011). Sampling was done 65 days after planting. Values are the means  $\pm$ SE of 3 observation points in the rainout shelters.

**Figure 9.** Performance of maize lines contrasting in CCFN in water stress (WS) and well watered (WW) conditions in rainout shelters at Rock Springs, PA, USA. Leaf relative water content at 60 days after planting (A), PA2011 and (B), PA2012; shoot biomass per plant at 70 days after planting (C), PA2011 and (D), PA2012; and yield per plant (E), PA2011 and (F), PA2012. Bars show means  $\pm$ SE of four replicates per treatment. Bars with the same letters are not significantly different within the same panel ( $p < 0.05$ ).

**Figure 10.** Performance of maize lines contrasting in CCFN in the field in water stress (WS) and well watered (WW) conditions at two field sites in Malawi. Leaf relative water content at 60 days after planting (A), Bunda and (B), Chitala; shoot biomass per plant at 70 days after planting (C), Bunda and (D,) Chitala; yield per plant (E), Bunda, (F), Chitala. Bars show means  $\pm$ SE ( $n=16-18$ ) of four replicates per treatment and trait. Bars with the same letters are not significantly different within the same panel ( $p < 0.05$ ).

**Figure 11.** Effect of drought treatment on soil volumetric water content at 15-, 30-, and 50-cm depths both in well watered (WW) and water stressed (WS) conditions in the rainout shelters at Rock Springs, PA, USA (PA2012). Points are means  $\pm$ SE of six measurements in the rainout shelter and three measurements in well watered plots. Terminal drought was imposed in WS plots beginning at 30 DAP.

## Table Captions

**Table 1.** Summary of analysis of variance for respiration, root depth ( $D_{95}$ ), stomatal conductance, carbon dioxide exchange rate and shoot biomass as influenced by soil moisture regime (treatment) and genotype in the greenhouse mesocosms experiments (GH2 and GH3). The associated F-values and probabilities (ns, not significant; \*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$ ) are shown

**Table 2.** Summary of linear models ( $y = a + bx$ ) of root respiration as predicted by root cortical cell file number (CCFN) and specific root length (SLR) in 15 IBM RILs (GH1-IBM) and 11 NyH RILs (GH1-NyH) under moderate drought in the greenhouse (GH1)

**Table 3.** Summary of analysis of variance for leaf relative water content (RWC) (%), shoot biomass and yield as influenced by soil moisture regime (treatment) and genotype in the rainout shelters at Rock Springs, PA, USA (PA2011 and 2012). The associated F-values and probabilities (ns, not significant; \*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$ ) are shown.

**Table 4.** Means of  $\delta^{18}\text{O}$  of xylem water  $\pm$  SE measured for six genotypes contrasting in CCFN under water stress 65 days after planting. Proportional water use by depth from different soil layers where deep is the aggregate of three deep soil layers (Fig 8) calculated using multi-source mixing model analysis (Phillips et al., 2005).

**Table 5.** Summary of analysis of variance for root depth ( $D_{95}$ ), leaf relative water content (RWC) (%), shoot biomass and yield as influenced by soil moisture regime (treatment) and genotype in the field Malawi (Bunda and Chitala). The associated F-values and probabilities (ns, not significant; \*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$ ) are shown.



## Supplemental figures

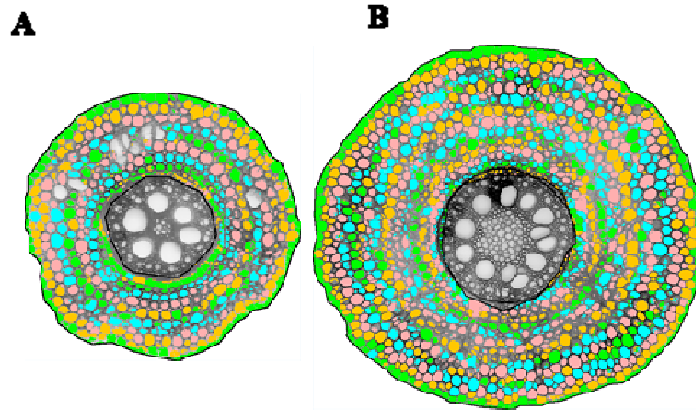
**Supplemental figure S1.** Root length density at different soil depths for genotypes with reduced cortical cell file (FF) and many cortical cell file number (MF) under water stressed (WS) and well watered (WW) conditions in the greenhouse (GH1) (A) and in the field (PA2011) (B) with corresponding  $D_{95}$ .  $D_{95}$  measures the depth above where 95% of root length is present.

**Supplemental figure S2.** Greenhouse mesocosms consisting of PVC cylinders 1.5 m in height by 0.15 m in diameter, with plastic liners made of 4-mil (0.116-mm) transparent hi-density polyethylene film, which was used to facilitate root sampling (A) just after planting and (B) 30 days after planting

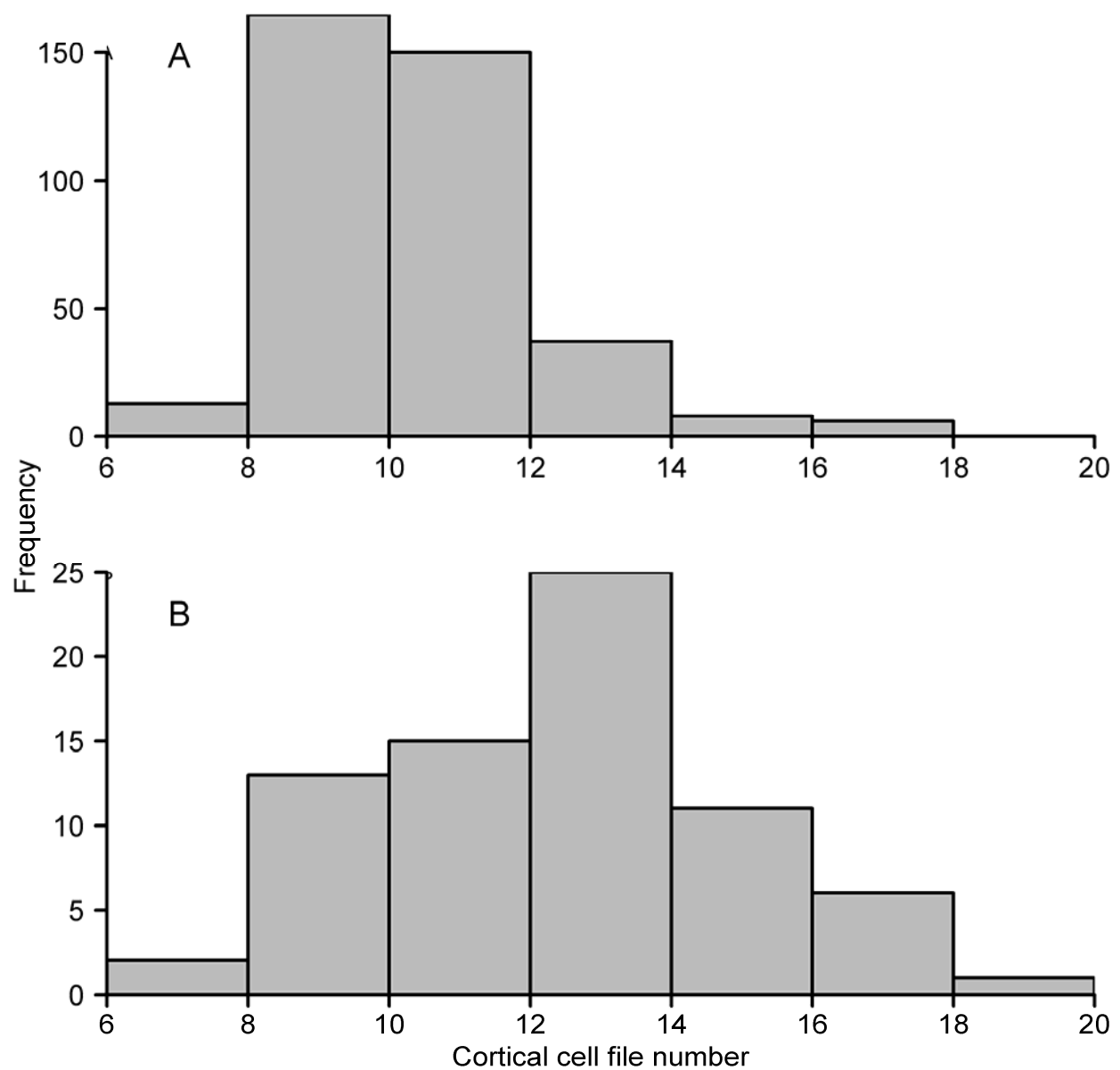
**Supplemental figure S3.** Automated rainout shelter facility at Rock Springs, PA, USA. The shelter are covered with plastic mounted on a precipitation-activated rail system, so that at the onset of precipitation each roof shields an area of 10 x 30 m. Adjacent irrigated plots provide unstressed comparisons. (A) well-watered plots (blue arrow) and water stressed plots (red arrow) early the season, (B) shelter covering water stressed just after rain, and (C) well watered plots adjacent to the shelter.

## Supplemental tables

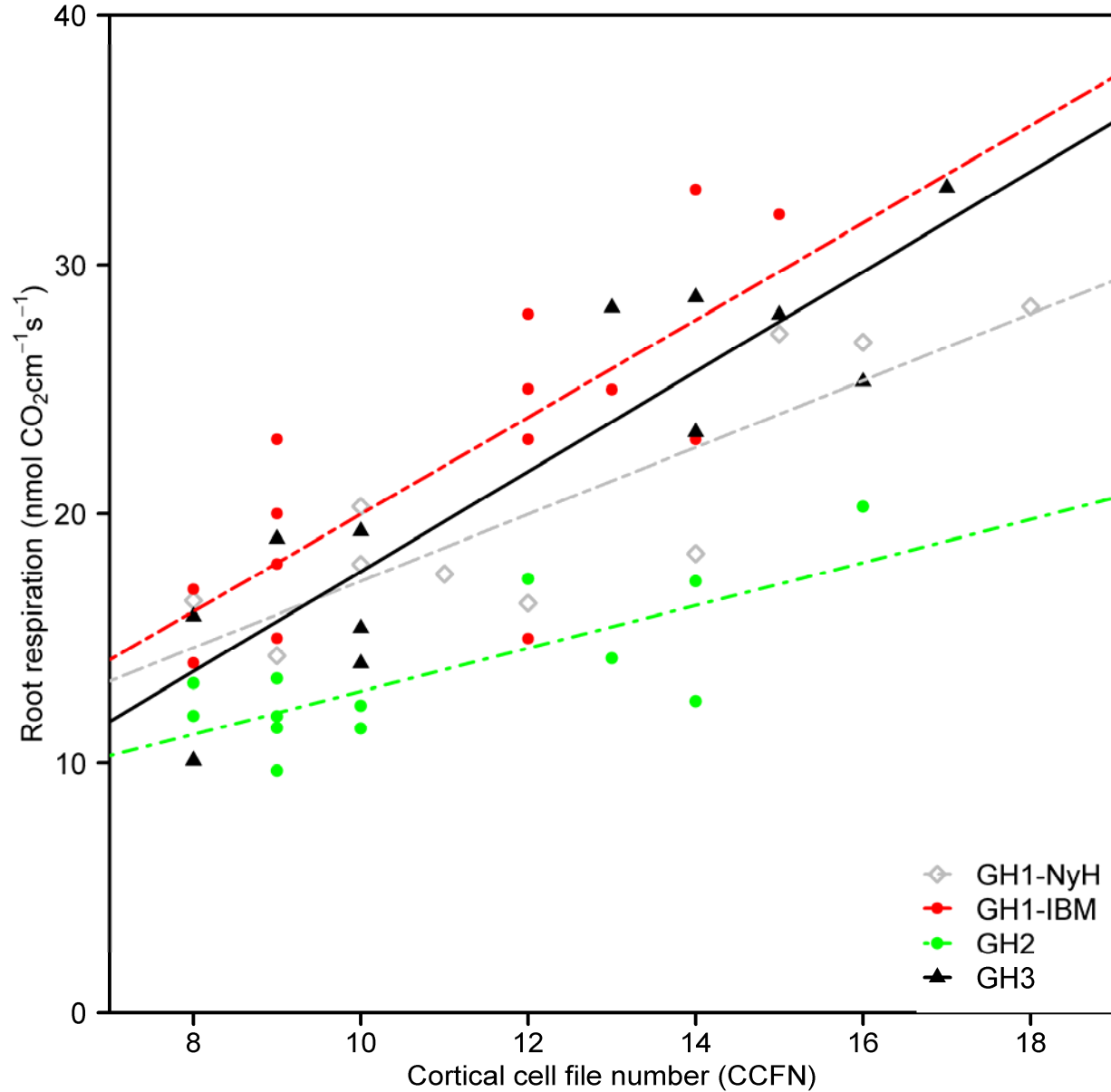
**Supplemental table S1.** Overview of the experiments, treatments, and plant material used. Genotypes were classified as ‘reduced CCFN’  $\leq 10$  cell files and ‘many CCFN’  $\geq 13$  cell files.



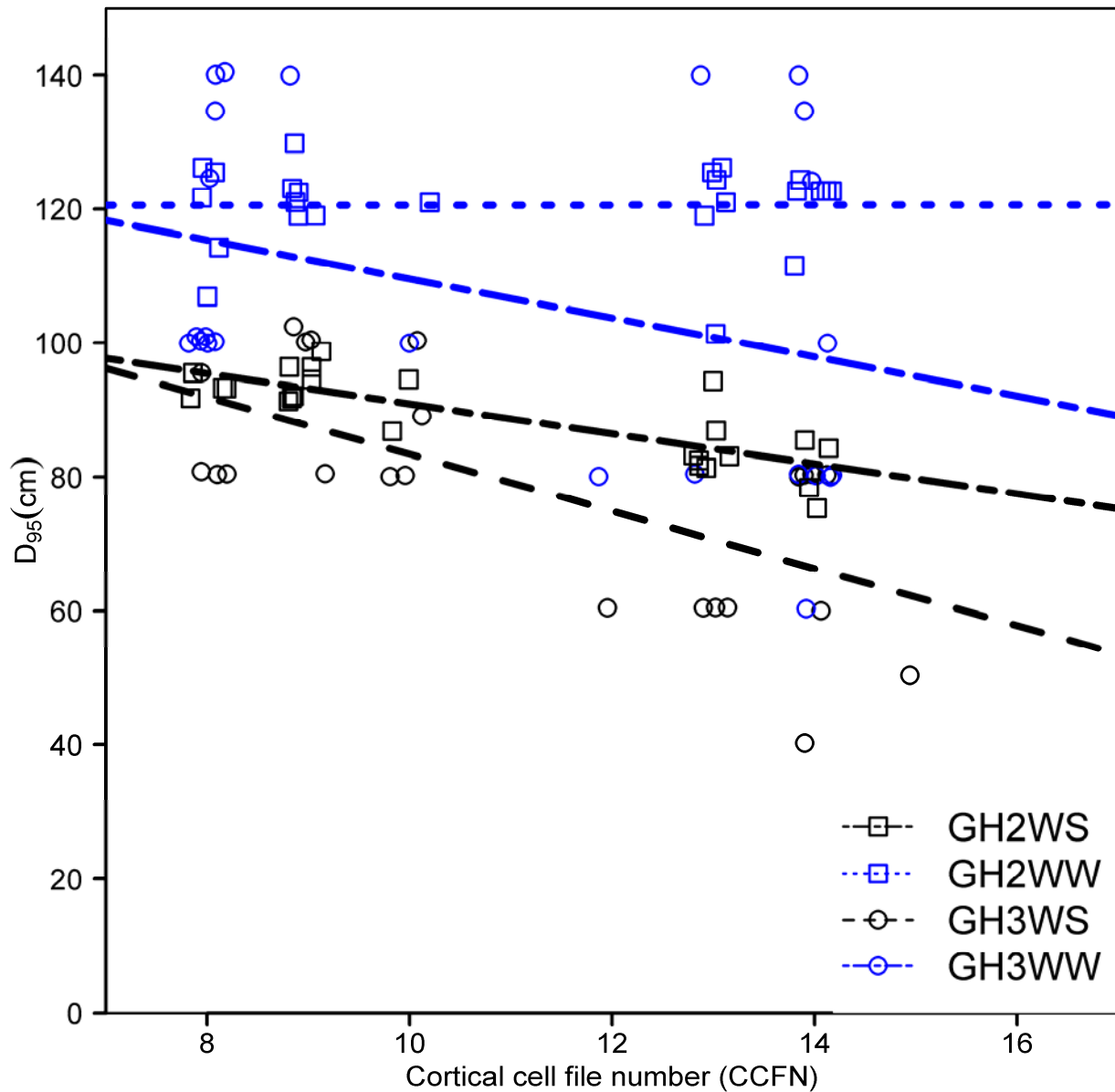
**Figure 1.** Cross section images showing genotypic differences in root cortical cell file number (CCFN) in maize: **(A)** 8 cell files and **(B)** 14 cell files. Cross sections are from standard reference tissue collected 10-20 cm from the base of the second nodal crown root at 70 days after planting from field-grown plants. Images obtained from laser ablation tomography.



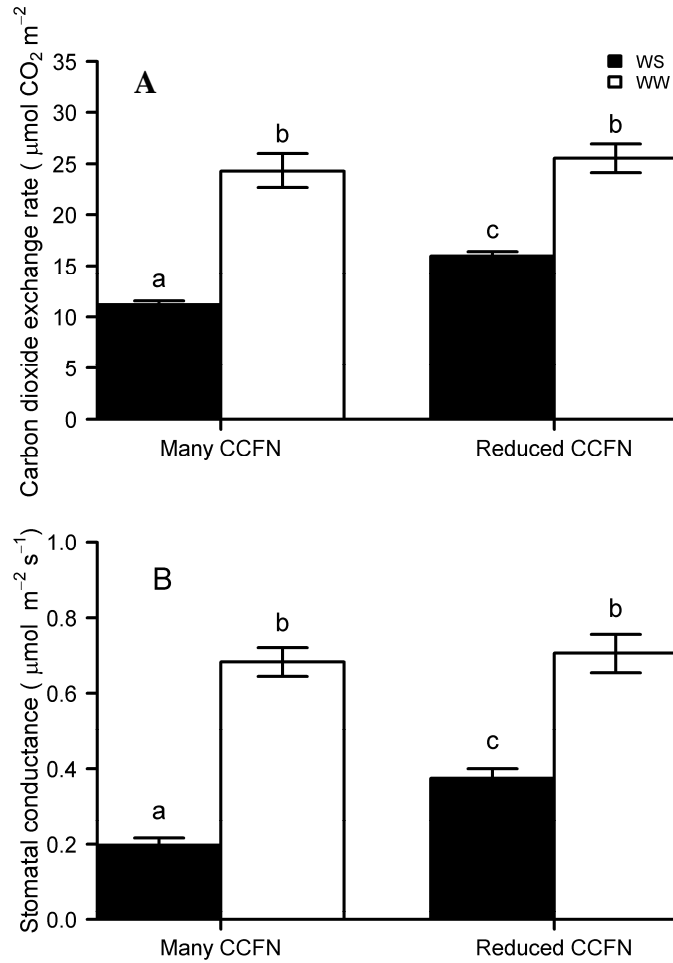
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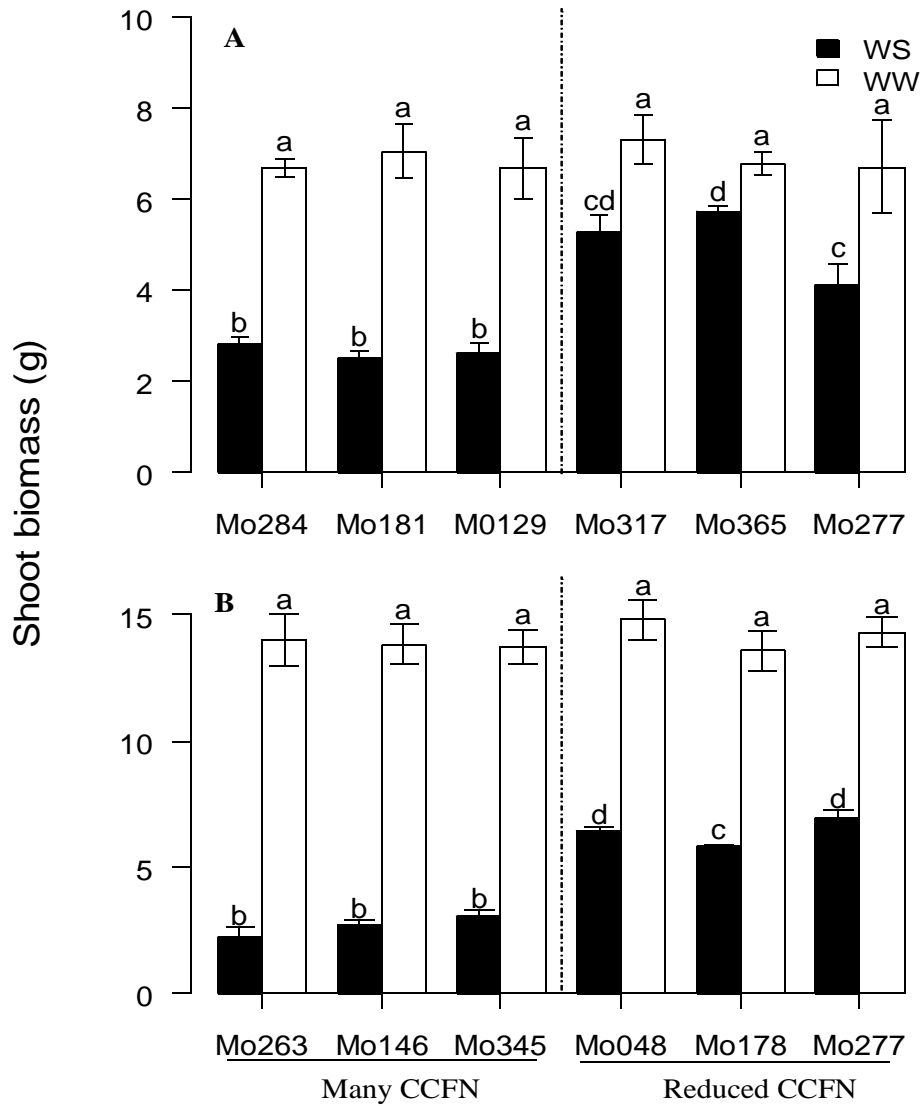
**Figure 3.** Correlation of root respiration per unit length and cortical cell file number (CCFN) for GH1-NyH ( $y = 1.7x - 0.31$ ,  $r^2 = 0.53$ ,  $p = 0.012$ ), GH1-IBM ( $y = 1.9x - 0.49$ ,  $r^2 = 0.56$ ,  $p = 0.009$ ), GH2 ( $y = 0.8x - 4.32$ ,  $r^2 = 0.59$ ,  $p = 0.001$ ) and in GH3 ( $y = 2.11x - 3.09$ ,  $r^2 = 0.52$ ,  $p = 0.018$ ) in the mesocosms 30 days after planting. Each point is the mean of at least three measurements of respiration from the second nodal crown root per genotype.



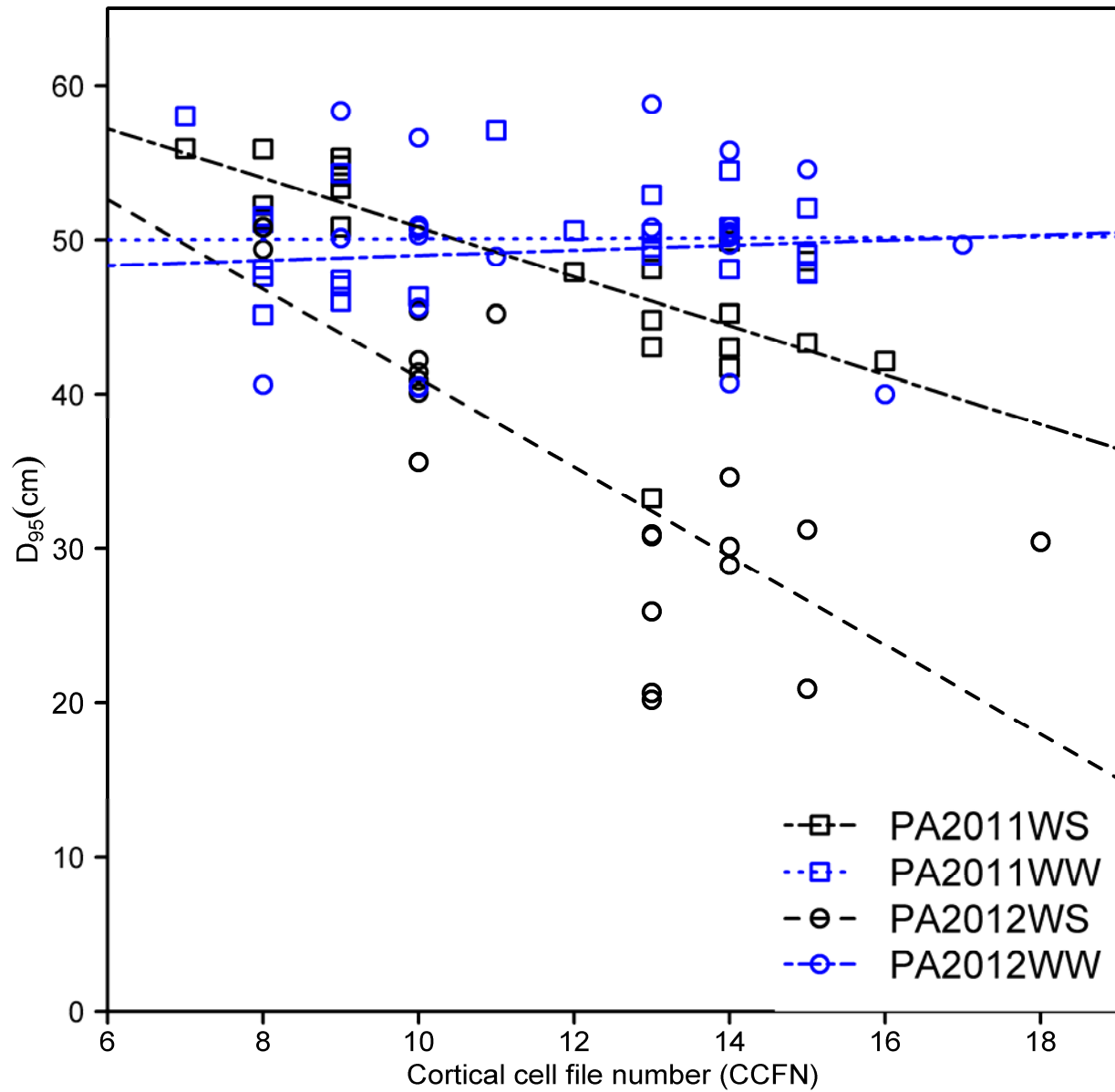
**Figure 4.** Correlation of root depth ( $D_{95}$ ) and cortical cell file number for GH2WS ( $y = 113.4 - 2.4x$ ,  $r^2 = 0.57$ ,  $p < 0.001$ ), for GH2WW ( $y = 120.6 + 0.003x$ ,  $r^2 = 0.003$ , ns), GH3WS ( $y = 124.9 - 4.2x$ ,  $r^2 = 0.41$ ,  $p < 0.01$ ), and GHWW ( $y = 138.6 - 2.9x$ ,  $r^2 = 0.10$ , ns) in greenhouse mesocosms 30 days after planting. Data include water stressed (WS) and well watered (WW) conditions.  $D_{95}$  measures the depth above where 95% of root length is present.



**Figure 5.** Carbon dioxide exchange rate (A) and stomatal conductance (B) of six genotypes with contrasting CCFN 28 days after planting both in well watered (WW) and water stressed (WS) conditions in the mesocosms (GH3). Bars represent means  $\pm$ SE of four replicates per treatment. Bars with the same letters are not significantly different ( $p < 0.05$ ).

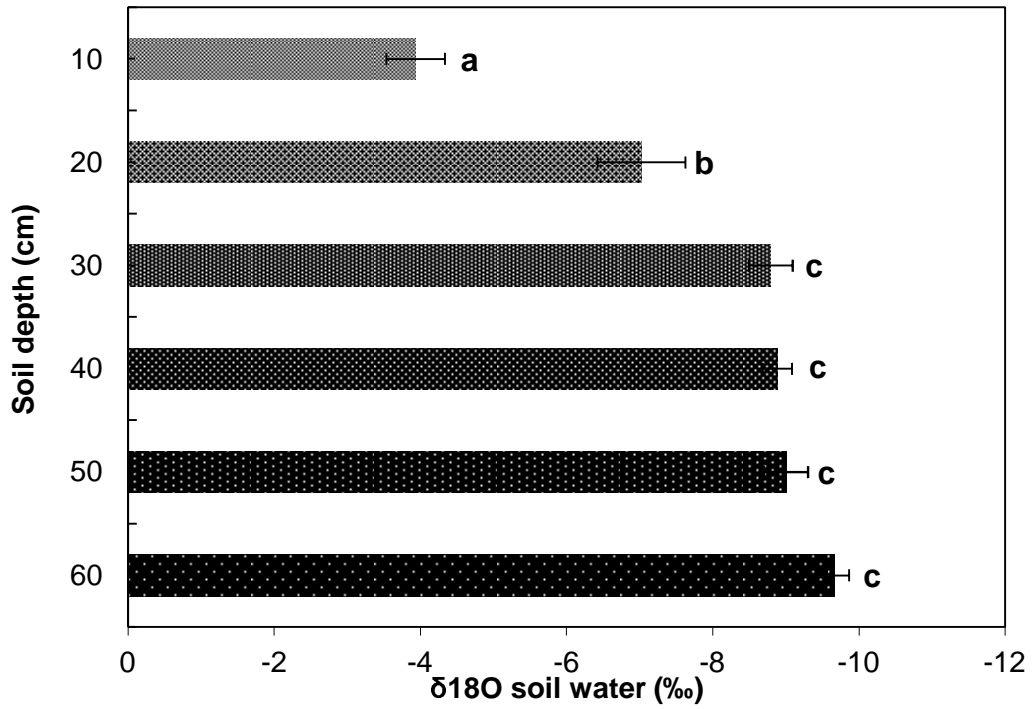


**Figure 6.** Shoot dry weight of genotypes contrasting in CCFN 30 days after planting in well watered (WW) and water stressed (WS) conditions in greenhouse mesocosms (A), GH2 and (B), GH3. Bars show means  $\pm$ SE of four replicates per treatment. Bars with the same letters are not significantly different within the same panel ( $p < 0.05$ ).

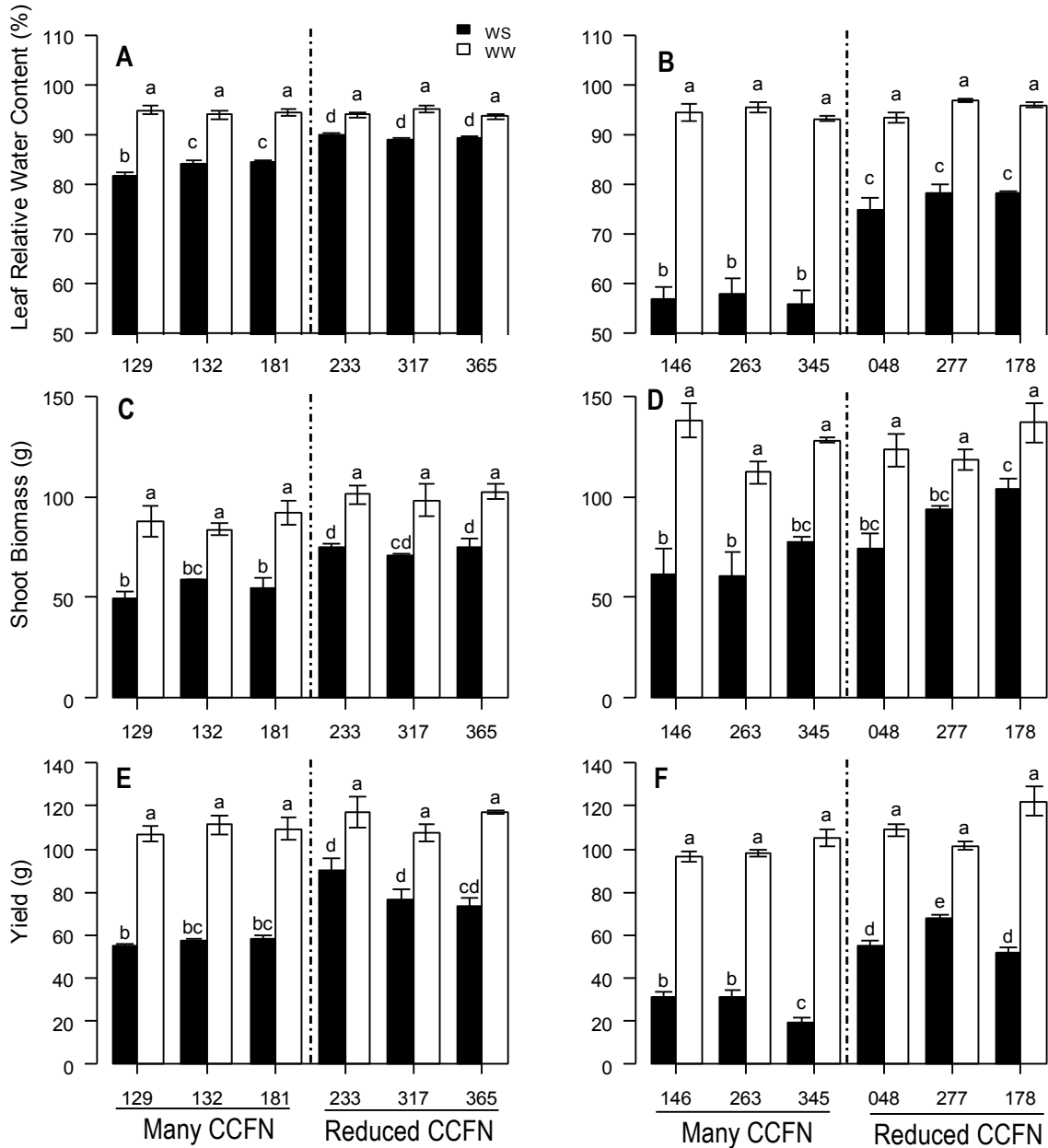


**Figure 7.** Correlation of root depth ( $D_{95}$ ) and root cortical cell file number for PA2011WS ( $y = 66.7 - 1.59x$ ,  $r^2 = 0.59$ ,  $p < 0.01$ ), PA2012WW ( $y = 49.89 + 0.02x$ ,  $r^2 = 0.002$ , ns), PA2012 ( $r^2 = 0.42$ ,  $p < 0.05$ ), and PA2012WW ( $y = 47.4 + 0.02x$ ,  $r^2 = 0.05$ , ns) 80 days after planting. Data include water stressed (WS) and well watered (WW) conditions.  $D_{95}$  measures the depth above where 95% of root length is present in the soil profile.

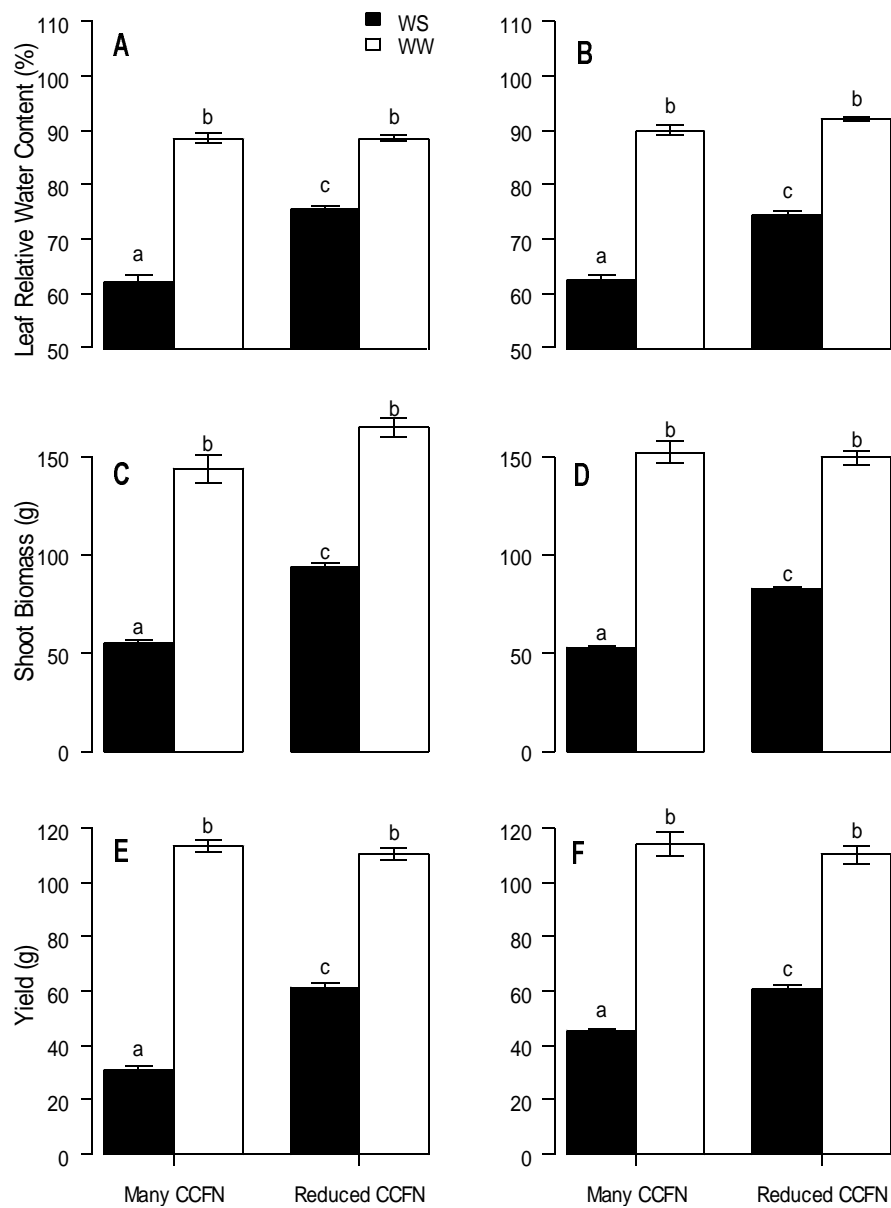




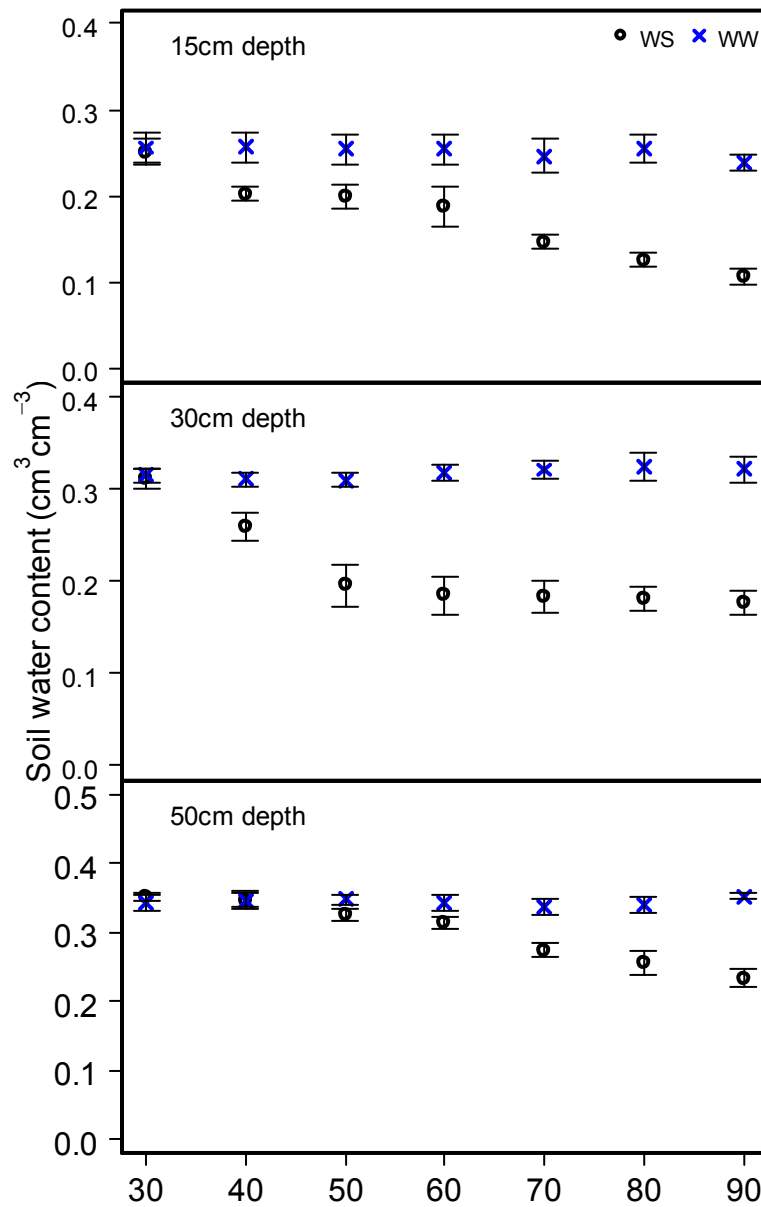
**Figure 8.** Mean oxygen isotope composition  $\pm$  S.E. of soil water along the soil profile in the rainout shelters (PA2011). Sampling was done 65 days after planting. Values are the means  $\pm$ SE of 3 observation points in the rainout shelters.



**Figure 9.** Performance of maize lines contrasting in CCFN in water stress (WS) and well watered (WW) conditions in rainout shelters at Rock Springs, PA, USA. Leaf relative water content at 60 days after planting (A), PA2011 and (B), PA2012; shoot biomass per plant at 70 days after planting (C), PA2011 and (D), PA2012; and yield per plant (E), PA2011 and (F), PA2012. Bars show means  $\pm$ SE of four replicates per treatment. Bars with the same letters are not significantly different within the same panel ( $p < 0.05$ ).



**Figure 10.** Performance of maize lines contrasting in CCFN in the field in water stress (WS) and well watered (WW) conditions at two field sites in Malawi. Leaf relative water content at 60 days after planting (A), Bunda and (B), Chitala; shoot biomass per plant at 70 days after planting (C), Bunda and (D,) Chitala; yield per plant (E), Bunda, (F), Chitala. Bars show means  $\pm$ SE (n=16-18) of four replicates per treatment and trait. Bars with the same letters are not significantly different within the same panel ( $p < 0.05$ ).



**Figure 11.** Effect of drought treatment on soil volumetric water content at 15-, 30-, and 50-cm depths both in well watered (WW) and water stressed (WS) conditions in the rainout shelters at Rock Springs, PA, USA (PA2012). Points are means  $\pm$ SE of six measurements in the rainout shelter and three measurements in well watered plots. Terminal drought was imposed in WS plots beginning at 30 DAP.

## Tables

**Table 1.** Summary of analysis of variance for respiration, root depth (D<sub>95</sub>), stomatal conductance, carbon dioxide exchange rate (CER) and shoot biomass as influenced by soil moisture regime (treatment) and genotype in the greenhouse mesocosms experiments (GH2 and GH3). The associated F-values and probabilities (ns, not significant; \*, P<0.05; \*\*, P<0.01; \*\*\*, P<0.001) are shown

Source of variation	GH2			GH3				
	Respiration	D <sub>95</sub>	Biomass	Respiration	D <sub>95</sub>	CER	Stomatal conductance	Biomass
Treatment (T)	1.1ns	438.6***	134.6***	0.34ns	29.3***	127.4***	115.9***	106.1***
Genotype (G)	6.3***	3.1*	5.5**	49.5***	3.43*	4.3**	5.6*	8.1***
G x T	0.8ns	3.4*	4.4**	0.56ns	1.1ns	4.4**	4.2*	5.1**

**Table 2.** Summary of linear models ( $y = a + bx$ ) of root respiration as predicted by root cortical cell file number (CCFN) and specific root length (SLR) in 15 IBM RILs (GH1-IBM) and 11 NyH RILs (GH1-NyH) under moderate drought in the greenhouse (GH1)

	CCFN		SLR	
	GH1-IBM	GH1-NyH	GH1-IBM	GH1-NyH
a	-0.49	-0.3	31.55	32.519
b	1.9	1.7	-0.72	-0.94
R <sup>2</sup>	0.56	0.53	0.41	0.38
	<i>P</i> <0.009	<i>P</i> < 0.012	<i>P</i> < 0.01	<i>P</i> < 0.04

**Table 3.** Summary of analysis of variance for root depth (D95), leaf relative water content (RWC) (%), shoot biomass and yield as influenced by soil moisture regime (treatment) and genotype in the rainout shelters at Rock Springs, PA, USA (PA2011 and 2012). The associated F-values and probabilities (ns, not significant; \*, P<0.05; \*\*, P<0.01; \*\*\*, P<0.001) are shown.

Source of variation	PA2011				PA2012			
	D <sub>95</sub>	RWC	Biomass	Yield	D <sub>95</sub>	RWC	Biomass	Yield
Treatment (T)	1.80ns	522.10***	119.80***	310.2***	1.88ns	722.4***	108.06***	1341.8**
Genotype (G)	6.90***	14.8***	7.01***	8.00***	6.92***	21.81***	4.21**	33.58***
G x T	6.71***	18.12***	0.75ns	3.64**	6.77***	16.78***	2.49	18.36**

**Table 4.** Means of  $\delta^{18}\text{O}$  of xylem water  $\pm$  SE measured for six genotypes contrasting in CCFN under water stress 65 days after planting. Proportional water use by depth from different soil layers where deep is the aggregate of three deep soil layers (Fig ) calculated using multi-source mixing model analysis (Phillips et al., 2005).

Group by phenotype	RIL	$\delta^{18}\text{O}$ of xylem water	Proportional water use by depth (%)		
			10 cm	20 cm	Deep
Many CCFN	129	-5.23 $\pm$ 0.36	34	66	0
	181	-6.92 $\pm$ 0.37	0	69	32
	284	-5.54 $\pm$ 0.16	18	82	0
Reduced CCFN	317	-6.56 $\pm$ 0.36	0	79	21
	365	-7.71 $\pm$ 0.25	0	42	58
	233	-8.43 $\pm$ 0.39	0	19	81



**Table 5.** Summary of analysis of variance for root depth (D95), leaf relative water content (RWC) (%), shoot biomass and yield as influenced by soil moisture regime (treatment) and genotype in the field Malawi (Bunda and Chitala). The associated F-values and probabilities (ns, not significant; \*, P<0.05; \*\*, P<0.01; \*\*\*, P<0.001) are shown.

Source of variation	Bunda			Chitala		
	RWC	Biomass	Yield	RWC	Biomass	Yield
Treatment (T)	76.2 ***	52.8 ***	294.1 ***	814.9 ***	141.1 ***	596.2 ***
Genotype(G)	3.3 **	3.0 ***	4.0 ***	3.8 **	3.1 **	2.1 **
G x T	3.2 ***	2.1 ***	4.6 ***	2.3***	3.4 ***	2.6 ***